

JC

JCWSCS 0 4 FEB 2004

FEB 03 2004 12:28 PM FR AGILENT TECHNOLOGIES 53 3063 TO 917037469195

P.01

Agilent Technologies, Inc.
Intellectual Property Administration
Legal Department
PO Box 58043, MS 51UPD
5301 Stevens Creek Blvd.
Santa Clara, California 95052-8043

408 553-2053 telephone
408 553-3063 facsimile
www.agilent.com



Agilent Technologies
Innovating the HP Way

Facsimile

Date: February 3, 2004

Fax number: (703) 746-9195

To: **Office of Initial Patent Examinations**
Filing Receipt Corrections

From: Katherine Lopez Diangson

Total pages: 6 pgs. w/ cover sheet

Subject: Request to Correct Filing Receipt

Attorney Docket No.: 10031055-1

Application No.: 10/694,290

File Date: 10/27/2003

Enclosed: Filing Receipt (2 pgs.)
Declaration (3 pgs.)

Inventor #3's name on this filing receipt is:

Leura Giovane

Inventor #2's name on this filing receipt should be:

Laura Giovane

Please replace the "e" with an "a."



Agilent Technologies
Innovating the HP Way

Agilent Technologies, Inc.
Intellectual Property Administration
Legal Department
PO Box 58043, MS 51UPD
5301 Stevens Creek Blvd.
Santa Clara, California 95052-8043

408 553-2053 telephone
408 553-3063 facsimile
www.agilent.com

Facsimile

Date: February 3, 2004

Fax number: (703) 746-9195

**To: Office of Initial Patent Examinations
Filing Receipt Corrections**

From: Katherine Lopez Diangson

Total pages: 6 pgs. w/ cover sheet

Subject: Request to Correct Filing Receipt

Attorney Docket No.: 10031055-1

Application No.: 10/694,290

File Date: 10/27/2003

**Enclosed: Filing Receipt (2 pgs.)
Declaration (3 pgs.)**

Inventor #3's name on this filing receipt is:

Leura Giovane

Inventor #2's name on this filing receipt should be:

Laura Giovane

Please replace the "c" with an "a."



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/694,290	10/27/2003	2828	770	10031055-1	3	20	3

AGILENT TECHNOLOGIES INC.
Legal Department, DL429
Intellectual Property Administration
P. O. Box 7599
Loveland, CO 80537-0599

RECEIVED

JAN 20 2004

AGILENT LEGAL
IPA

CONFIRMATION NO. 2768

FILING RECEIPT

10031055-1 10031055-1 10031055-1 10031055-1 10031055-1 10031055-1 10031055-1 10031055-1 10031055-1 10031055-1
OC000000011767685

PLK
FORD

Date Mailed: 01/26/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

— Bernhard Ulrich Koelle, San Jose, CA;
— Scott W. Corzine, Sunnyvale, CA;
— Laura Giovane, Santa Clara, CA;
— An-Nien Chang, San Jose, CA;

Please verify spelling
of inventor 3's
1st name in Media

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 01/26/2004

Projected Publication Date: 04/28/2005

Non-Publication Request: No

Early Publication Request: No

Title

Single-mod vertical cavity surface emitting lasers and methods of making the same

Preliminary Class

372

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).